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Atty Docket No. 022205-000110US

PTO FAX NO.: 1-571-273-8300

ATTENTION: Examiner [unknown]

Group Art Unit 1615

**OFFICIAL COMMUNICATION**  
**FOR THE PERSONAL ATTENTION OF**  
**EXAMINER [unknown]**

**CERTIFICATION OF FACSIMILE TRANSMISSION**

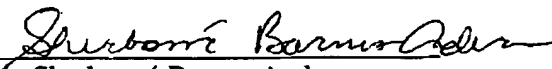
I hereby certify that the following documents in re Application of SINGH and SINGH, Application No. 10/763,641, filed January 22, 2004 for METHODS AND COMPOSITIONS FOR DELIVERING 5-HT<sub>3</sub> ANTAGONISTS ACROSS THE ORAL MUCOSA are being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Documents Attached

1. Copy of previously-filed Revocation And New Power of Attorney And Change of Correspondence Address, and Statement Under 3.73(b), filed in the U.S. Patent and Trademark Office Mailroom on May August 24, 2004. PLEASE MAKE OF RECORD AS SOON AS POSSIBLE.

Number of pages being transmitted, including this page: 6

Dated: December 1, 2006

  
Sherbonné Barnes-Anderson,  
Prosecution Paralegal Supervisor

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TOWNSEND and TOWNSEND and CREW LLP  
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60929160 v1

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PTO/SB/82 (09-03)

<b>REVOCATION OF POWER OF ATTORNEY WITH NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS</b>	Application Number	10/763,641
	Filing Date	January 22, 2004
	First Named Inventor	Singh, Nikhlesh N.
	Art Unit	Not yet assigned
	Examiner Name	Not yet assigned
	Attorney Docket Number	022205-000110US

I hereby revoke all previous powers of attorney given in the above-identified application:

☒ A Power of Attorney is submitted herewith.

OR

☐ I hereby appoint the practitioners associated with the Customer Number:☒ Please change the correspondence address for the above-identified application to:☒ The address associated with  
Customer Number:

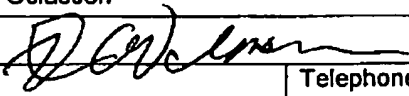
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OR

<input type="checkbox"/> Firm or Individual Name					
Address					
Address					
City		State		ZIP	
Country					
Telephone		Fax			

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

Name	Glenn A. Oclassen		
Signature			
Date	8-10-04	Telephone	415-945-5420

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of \_\_\_\_\_ forms are submitted.

60164787 v1

COPY

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PTO/SB/81 (09-03)

<b>POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM</b>	<b>Application Number</b>	10/763,641
	<b>Filing Date</b>	January 22, 2004
	<b>First Named Inventor</b>	Nikhlesh N. Singh
	<b>Title</b>	METHODS AND COMPOSITIONS FOR DELIVERING 5-HT3 ANTAGONISTS ACROSS THE ORAL MUCOSA
	<b>Art Unit</b>	
	<b>Examiner Name</b>	
	<b>Attorney Docket Number</b>	022205-000110US

I hereby appoint:

☒ Practitioners associated with the Customer Number

20350

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number:

OR


☐ The address associated with Customer Number:

OR

<input type="checkbox"/> Firm or Individual Name				
Address				
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City	State	ZIP		
Country				
Telephone	Fax			

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).**SIGNATURE of Applicant or Assignee of Record**

<b>Name</b>	Glenn A. Oclassen		
<b>Signature</b>			
<b>Date</b>	8-10-04	<b>Telephone</b>	

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of \_\_\_\_\_ forms are submitted.

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PTO/SB/06 (08-03)

Attorney Docket No. 022205-000110US

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Nikhilesh N. SINGH and Natasha N. SINGH

Application No./Patent No.: 10/763,641 Filed/Issue Date: January 22, 2004

Entitled: METHODS AND COMPOSITIONS FOR DELIVERING 5-HT3 ANTAGONISTS ACROSS THE ORAL MUCOSA

TransOral Pharmaceuticals, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_ %

In the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

8-10-04  
Date

415-945-5420  
Telephone number

Glenn A. Oclassen  
Typed or printed name  
[Signature]  
Signature

CEO and President  
Title

60164789 v1

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COPY

Attorney Docket No.: 022205-000110US

**ASSIGNMENT OF PATENT APPLICATION**

**JOINT**

WHEREAS, Nikhilesh N. Singh and Natasha N. Singh both of 1220 Shelter Bay Avenue, Mill Valley, CA 94941 hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:           **METHODS AND COMPOSITIONS FOR DELIVERING  
5-HT3 ANTAGONISTS ACROSS THE ORAL MUCOSA**

Date(s) of execution of Declaration: 08/08/04 (both)

Filing Date:                January 22, 2004

Application No.:           10/763,641

WHEREAS, TransOral Pharmaceuticals, Inc., a corporation of the state of Delaware, located at 300 Tamal Plaza, Suite 220, Corte Madera, CA 94925, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

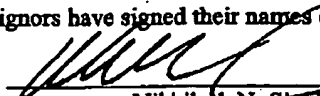
Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.


**NOT FOR RECORDATION**

Assignment  
Attorney Docket No.: 022205-000110US  
Page 2


IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.


Dated: 8/9/04

  
Nikhilesh N. Singh

  
WITNESS SIGNATURE  
Thomas Soloway  
WITNESS PRINTED NAME

Dated: 8/5/04

x   
Natasha N. Singh

  
WITNESS SIGNATURE  
Thomas Soloway  
WITNESS PRINTED NAME

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